

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Wonju CHO, et al.

Serial No.: 09/975,963 Group Art Unit: 2814

Filed: October 15, 2001 Examiner: Pham, Long

Title: ULTRA SMALL SIZE VERTICAL MOSFET DEVICE AND METHOD FOR THE
MANUFACTURE THEREOF

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

June 20, 2003

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under 37 CFR §1.113 or a Notice of Allowance under 37 CFR §1.311 but before the payment of the Issue Fee. Therefore, certification in accordance with 37 CFR §1.97(e) is included herein.

The patents and publications listed on Form PTO-1449 were cited in a Japanese Search Report mailed not more than three months

ago in a counterpart Japanese patent application. A copy of the Japanese Search Report is enclosed.

Additionally, submitted herewith is a check for the fee of \$180.00 required by 37 CFR §1.17(p). Should any additional fee be required by the filing of this statement, please charge such fee to Deposit Account No. 06-1358. A duplicate copy of this paper is enclosed.

CERTIFICATION

It is hereby certified that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

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Atty. Docket: P67201US0
Enclosures
YSH: dj

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P67201US0 GROUP ART UNIT: 2814
SERIAL NO.: 09/975,963 FILING DATE: October 15, 2001
APPLICANT(S): Wonju CHO, et al. TODAY'S DATE: June 20, 2003

U.S. PATENT DOCUMENTS

*EXAMINER <u>INITIAL</u>	DOCUMENT NUMBER	DATE	NAME	INT'L CLASS	SUB- CLASS	FILING DATE (if Appropriate)
AA						
AB						
AC						

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
AD <u>08-227997</u>	<u>09/03/96</u>	<u>Japan</u>	<u>H01L</u>	<u>29/78</u>	<u>X</u>
AE <u>07-099311</u>	<u>04/11/95</u>	<u>Japan</u>	<u>H01L</u>	<u>29/78</u>	<u>X</u>
AF <u>2000-174269</u>	<u>06/23/00</u>	<u>Japan</u>	<u>H01L</u>	<u>29/78</u>	<u>X</u>
AG <u>10-189764</u>	<u>07/21/98</u>	<u>Japan</u>	<u>H01L</u>	<u>21/8238</u>	<u>X</u>

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AH

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Notice of References Cited		Application/Control No. 09/975,963	Applicant(s)/Patent Under Reexamination CHO ET AL.	
		Examiner Long Pham	Art Unit 2814	Page 1 of 1

U.S. PATENT DOCUMENTS

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
A	US-US005872037A	02-1999	Iwamatsu et al.	
B	US-US006300198B1	10-2001	Aeugle et al.	
C	US-			
D	US-			
E	US-			
F	US-			
G	US-			
H	US-			
I	US-			
J	US-			
K	US-			
L	US-			
M	US-			

FOREIGN PATENT DOCUMENTS

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
N					
O					
P					
Q					
R					
S					
T					

NON-PATENT DOCUMENTS

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
U	
V	
W	
X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.